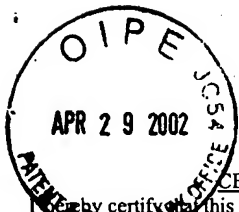


MP/ \$ #3



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF MAILING**  
I hereby certify that this paper and the documents and/or fees referred to as attached are being deposited with the United States Postal Service on April 18, 2002 in an envelope as First Class Mail, addressed to: Assistant Commissioner for Patents, Washington, DC 20231.



Attorney Docket No.: ELECP006C1

First Named Inventor: Hunter, Kevin

Pat Tate

Application No. 10/045,662

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a Response to Notice to File Missing Parts in a patent application in the name of inventors:  
**KEVIN L. HUNTER**

For **SYSTEM AND METHOD FOR OPERATING AN ANIMATABLE CHARACTER**

Application Elements:

☐

Declaration

☐

Newly executed (original or copy)

☐

Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).

The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐

Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:

☐

Information Disclosure Statement with Form PTO-1449

☐

Copies of IDS Citations

☐

Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)

☒

Return Receipt Postcard

☐

Verified Statement Claiming Small Entity Status filed in prior application. Status still proper and desired.

☒

Other: 10 sheets Formal Drawings

Amendments

☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (*At least one original independent claim must be retained.*)

Fee Calculation (37 CFR § 1.16)

	(Col. 1) <u>NO. FILED</u>	(Col. 2) <u>NO. EXTRA</u>	<u>RATE</u>	<u>FEE</u>	<u>SMALL ENTITY</u>	<u>OR</u>	<u>LARGE ENTITY</u>
BASIC FEE				\$355	\$	OR	\$710 \$
TOTAL CLAIMS	_____ -20 = _____			x9	= \$	OR	x18 = \$
INDEP CLAIMS	_____ -03 = _____			x40	= \$	OR	x80 = \$
[ ] Multiple Dependent Claim Presented				\$130	= \$	OR	\$260 = \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.				Total	\$	OR	Total \$

☐ Applicants petition for a two (2) month extension of time to respond under 37 CFR § 1.136(a).

☒ Check No. 482 in the amount of \$1,236.00 is enclosed.

General Authorizations

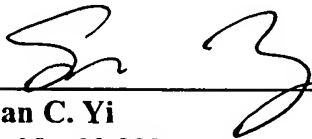
☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for this or any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR § 1.17 as may be needed to Deposit Account No. 50-0685 (Order No. ELECP006C1).

☒ The Commissioner is given general authorization to charge any fees or to credit any overpayment during the pendency of this application to Deposit Account No. 50-0685 (Order No. ELECP006C1).

☒ Please send correspondence to the following address:

Customer No. 21912  
VAN PELT & YI LLP  
4906 El Camino Real, Suite 205  
Los Altos, CA 94022

Date: 4/18/02

  
\_\_\_\_\_  
Susan C. Yi  
Reg. No. 39,883



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/045,662	10/18/2001	Kevin L. Hunter	60143-30063

CONFIRMATION NO. 2430

## FORMALITIES LETTER



\*OC000000007665077\*

OPPENHEIMER WOLFF & DONNELLY LLP  
P.O. Box 10356  
Palo Alto, CA 94303

Date Mailed: 03/19/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/01/2002 BABRAHA1 00000003 10045662

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:103	198.00 OP
04 FC:102	168.00 OP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$366.
  - \$198 for 11 total claims over 20.
  - \$168 for 2 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1236.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

H. Truong

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

206240 29954001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

0500

Applicant: Kevin L. Hunter

Docket No: 60143-300603

Application No.: 10/045,662

Group Art Unit: 2671

Filing Date: October 18, 2001

Examiner: Not Assigned Yet

For: **SYSTEM AND METHOD FOR GENERATING AN ANIMATABLE CHARACTER**

Office of Initial Patent Examination Division  
Customer Service Center  
Commissioner For Patents  
Washington, D.C. 20231

RECEIVED

JUL 22 2002

Technology Center 2600

**CHANGE OF ATTORNEY DOCKET NUMBER**


Dear Sir:

The attorney docket number for this application was formerly entered as 60143-30063. It has since been changed to docket number 60143-300603.

Please use from now on the new docket number in reference to this application.

Respectfully submitted,

Dated: April 5, 2002

  
\_\_\_\_\_  
Brian R. Coleman  
Reg. No. 39,145

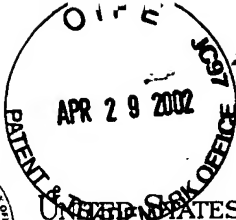
OPPENHEIMER WOLFF & DONNELLY LLP  
CUSTOMER NO. 25696  
P.O. Box 10356  
Palo Alto, CA 94303  
Tel. No: (650) 320-4000  
Fax. No: (650)320-4100

**CERTIFICATE OF MAILING (37 CFR 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited on April 5, 2002 with the U.S. Postal Service as first class mail in an envelope addressed to Office of Initial Patent Examination Division, Customer Service Center, Commissioner of Patents, Washington, D.C. 20231.

Date: April 5, 2002

  
\_\_\_\_\_  
Sheena Hicks



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/045,662	10/18/2001	2671	0.00	<del>60143-</del> <del>30063-</del>	10	31	5

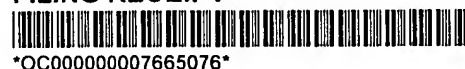
60143-300603

CONFIRMATION NO. 2430

## FILING RECEIPT

OPPENHEIMER WOLFF & DONNELLY LLP  
P.O. Box 10356  
Palo Alto, CA 94303

RECEIVED



\*OC000000007665076\*

JUL 22 2002

Technology Center 2600

Date Mailed: 03/19/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kevin L. Hunter, Sunnyvale, CA;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/062,361 10/15/1997  
AND A CON OF 09/173,583 10/15/1998

## Foreign Applications

If Required, Foreign Filing License Granted 03/18/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

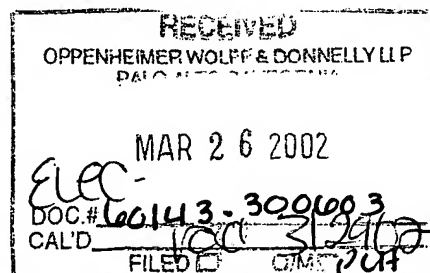
Early Publication Request: No

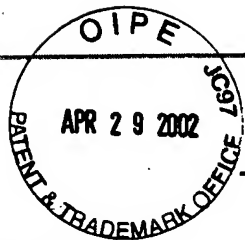
## Title

System and method for generating an animatable character

## Preliminary Class

345





**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).